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ITP

Appln. No.: 10/727,324

Information Disclosure Statement filed Sept. 22, 2006

PATENT

Customer No. 22,852

Attorney Docket No. 7451.0005-04000

Intertrust Ref. No.: IT-7.2.1 (US)



CERTIFICATE OF EXPRESS MAILING

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Date: September 22, 2006

Signed:

Linda J. Phillips
Linda Phillips

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Karl L. GINTER *et al.*

Application No.: 10/727,324

Filed: December 2, 2003

For: TRUSTED INFRASTRUCTURE
SUPPORT SYSTEMS, METHODS
AND TECHNIQUES FOR SECURE
ELECTRONIC COMMERCE
TRANSACTION AND RIGHTS
MANAGEMENT

)
)
) Group Art Unit: 3624

)
) Examiner: Not Yet Assigned

)
)
) Confirmation No.: 6224

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Mail Stop Amendment

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents on the attached listing. To the best of our knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Each document listed in this Information Disclosure Statement was first cited in a communication from the Japanese Patent Office in a counterpart foreign application.

Copies of the listed foreign and non-patent literature documents are submitted herewith. English language translations, summaries, or abstracts of the non-English language documents are also submitted herewith for each respective reference.

In lieu of a statement of relevance or translation of several of the non-English documents, Applicants also submit herewith English language versions of five office actions from the Japanese Patent Office in corresponding applications citing these documents and setting forth the relevance thereof.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.


If there is any fee due in connection with the filing of this Statement, please
charge the fee to Deposit Account No. 06-0916.

Respectfully submitted,

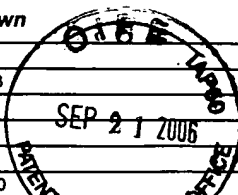
FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: September 22, 2006

By: _____


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IDS Form PTO/SB/08: Substitute for form 1449A/PTO <div style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div style="text-align: center; font-size: small;">(Use as many sheets as necessary)</div>				Complete if Known	
		Application Number	10/727,324		
		Filing Date	December 2, 2003		
		First Named Inventor	Karl L. GINTER		
		Art Unit	3624		
		Examiner Name	Not Yet Assigned		
		Attorney Docket Number	07451.0005-04000		
Sheet	1	of	1		

FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁴
		Country Code ¹ Number ² Kind Code ³ (if known)				
	B1	JP 04-100148	02/04/92	Sun Microsystem, Inc.		Abstract only
	B2	JP 06-103058	05/14/93	A.M. Fischer		Abstract only
	B3	JP 07-302244	11/14/95	Dainippon Printing Co.		Abstract only
	B4	JP 08-111679	04/30/96	Matsushita Denki Sangyo KK (Matu)		Abstract only
	B5	JP 08-272746 (related to U.S. 5,634,012)	10/18/96	Contentguard Hold.; Xerox		Abstract only
	B6	JP 10-513289 (related to U.S. 5,845,281)	12/15/98	Benson G. et al.		Abstract only
	B7	JP 06-035807	02/10/94	Sharp Corp		Abstract only
	B8	WO 96/24155	08/08/96	Advanced Micro Devices, Inc.		
	B9	WO 96/25006	08/15/96	Flexydial Pty. Ltd		
	B10	WO 97/27155	07/31/97	E.J. DuPont de Nemours et al.		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁵
	C1	Akashi, Osamu et al., "Information Distribution by FleaMarket System," Multimedia Communications and Distributed Processing Workshop, Proceedings of Information Processing Society Workshop, October 25, 1995, Vol. 95, No. 2, pp. 139-146.	
	C2	Kaii, Minoru, "Takeoff of Communicator with Matic Cap, Information Provider Service Being Greatly Changed by Telescript," Nikkei MAC, Japan, Nikkei BP Corp., October 15, 1994, Vol. 19, pp. 138-141.	Pages 140-141 only
	C3	Kawahara, Masaharu, "Consideration of Accounting Procedure for Electronic Objects in Superdistribution," Technical Research Report of Institute of Electronics, Information and Communication Engineers, September 21, 1994, Vol. 94, No. 240 (ISEC 94-13-23), pp. 17-24.	Abstract only
	C4	Ohtaki, Yasuhiro, et al., "Development Environment on Superdistribution Architecture," Technical Research Report of Institute of Electronics, Information and Communication Engineers, September 21, 1994, Vol. 94, No. 240, pp. 9-16.	Pages 10-11 only
	C5	Yoshioka, Makota, "The Technical Trend of Superdistribution," Technical Research Report of Institute of Electronics, Information and Communication Engineers, September 21, 1994, Vol. 94, No. 240 (ISEC 94-13-23), pp. 68-74.	Abstract and pages 68-69 only
	C6	"4. Proposal and Concept on Software Distribution," Research Report on Microcomputer (II), Japan Electronics and Information Technology Industries Association, March 31, 1988, pp. 190-212.	Relevant O.A. provided
	C7	Translation of Office Action dated March 28, 2006, in JP Application No. 2003-126862.	
	C8	Translation of Office Action dated March 28, 2006, in JP Application No. 2003-115260.	
	C9	Translation of Office Action dated March 28, 2006, in JP Application No. 2003-121056.	
	C10	Translation of Office Action dated April 4, 2006, in JP Application No. 2003-116576.	
	C11	Translation of Office Action dated August 15, 2006, in JP Application No. 2005-253052.	
Examiner Signature			Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.